



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Edmark et al.**

Serial No.: **09/923,574**

Filed: **August 7, 2001**

For: **Method and Apparatus for Detecting  
Improper Intrusions from a Network  
into Information Systems**

§  
§  
§  
§  
§  
§  
§  
§  
§

Group Art Unit: **2152**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010189US1**

**RECEIVED**

**MAR 10 2003**

**Technology Center 2100**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

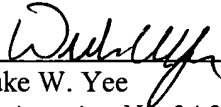
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

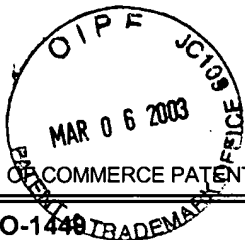
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits or each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. No fee is required.

Date: March 3, 2003

Respectfully submitted,

  
\_\_\_\_\_  
Duke W. Yee  
*Registration No. 34,285*  
Carstens, Yee & Cahoon, L.L.P.  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001



<b>Form PTO-1449</b> <b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)		ATTORNEY DOCKET NO. <b>AUS920010185US1</b>		SERIAL NO. <b>09/923,574</b>	
		APPLICANT <b>Edmark et al.</b>			
		FILING DATE <b>August 7, 2001</b>		GROUP ART UNIT <b>2152</b>	
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	<b>AA 5,826,014</b>	<b>Oct. 20, 1998</b>	<b>Coley et al.</b>	<b>395/187.01</b>	<b>Feb. 6, 1996</b>
	<b>AB 6,233,618 B1</b>	<b>May 15, 2001</b>	<b>Shannon</b>	<b>709/229</b>	<b>Mar. 31, 1998</b>
<b>FOREIGN PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	<b>AC WO 00/34867</b>	<b>Jun. 15, 2000</b>	<b>WIPO</b>	<b>G06F 11/30</b>	<b>X</b>
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>					
	<b>AD</b>	<b>Doty, "A Firewall Overview", Connexions, Vol. 9, No. 7, July 1995, pp. 20-23.</b>			
	<b>AE</b>	<b>Hubbard et al., "Firewalling the Net", BT Technology Journal, BT Laboratories, GB, Vol. 15, No. 2, April 1997, pp. 94-106.</b>			
<b>RELATED PATENT APPLICATIONS</b>					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	
<b>DATE CONSIDERED</b>		<b>EXAMINER</b>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					